

To: **Shenyin Wanguo Securities (HK) Limited 申銀萬國證券(香港)有限公司**

SLT/05/10-04

**Securities Settlement Instruction 證券交收指示**

Account Name (Number) \_\_\_\_\_ (\_\_\_\_\_)

Please receive the following securities for my/our above account 請將下列證券接收到本人/吾等上述證券帳戶

Please deliver the following securities for my/our above account 請由本人/吾等上述證券戶口交付下列證券

Please input the following Settlement Instructions (SI) / (ISI) into the Central Clearing and Settlement System (CCASS)

To effect settlement with details as follows 請將下列交收指示輸入中央結算交收系統以便進行交收：

Counter party name (CCASS number) 對手名稱(中央結算公司號碼) : \_\_\_\_\_ (\_\_\_\_\_)

For deposit into my/our account with them, account number 存入本人/吾等在對手經紀開設之帳戶, 帳戶號碼 \_\_\_\_\_

**Free of Payment 無須付款交收**

**Against Payment Amount 貨銀對付交收**

<u>Settlement Date</u>	<u>Stock Code</u>	<u>Stock Name</u>	<u>Quantity</u>		<u>Stock/Cash Input Ref.</u>
交收日期	股票號碼	股票名稱	數量		
_____	_____	_____	_____	HKD _____	_____ / _____
_____	_____	_____	_____	HKD _____	_____ / _____
_____	_____	_____	_____	HKD _____	_____ / _____
_____	_____	_____	_____	HKD _____	_____ / _____
_____	_____	_____	_____	HKD _____	_____ / _____
_____	_____	_____	_____	HKD _____	_____ / _____
_____	_____	_____	_____	HKD _____	_____ / _____

Signature(s) of Customer or Authorised Person (with Company chop where appropriate)

客戶簽署或授權人仕簽署 (公司蓋章)

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**For Official Use Only**

Opening account balance	Cr/(Dr) _____	SI / ISI Handling Charges	HKD _____
Transaction amount + Charges	Cr/(Dr) _____	SI / ISI CCASS Fee	HKD _____ -
Closing balance	Cr/(Dr) _____	Others	HKD _____
		Total :	HKD _____

**For Margin Account :**

Margin Value after transfer	_____	Stock in/out Authorised by	_____
Available/(Margin Call) amount after transfer	_____	Cash in/out Authorised by	_____
Market Value _____	M% _____	Credit Approved by	_____

Verify Signature \_\_\_\_\_ Confirmed with Client / Sales \_\_\_\_\_ Input CCASS system \_\_\_\_\_

Approved by Dealing Responsible Officer (if necessary) \_\_\_\_\_ Checker \_\_\_\_\_

CCASS Authorisation \_\_\_\_\_ Reviewed CCASS Status \_\_\_\_\_